

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	006386.P001
First Inventor	Douglas R. Swarts
Title	METHOD AND APPARATUS FOR WASTE EVACUATION
Express Mail Label No.	EU835610914US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
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- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☒ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 56]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 41]
- Oath or Declaration [Total Sheets 1]
 - ☒ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ Paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☒ Other: Certificate of Express Mail PQ to Addressee with a copy of above Return Receipt Postcard

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number: OR ☒ Correspondence address below

Name	Alan Heimlich, Esq.		
Address	5952 Dial Way		
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Country	US	Telephone	408 253-3860
		Fax	408 257-9904

Name (Print/Type)	Alan Heimlich	Registration No. (Attorney/Agent)	48808
Signature	<i>Alan Heimlich</i>	Date	10/24/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

22582 U.S. PTO
10/693780

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The following has been received by the USPTO on the date stamped herein.	
RECEIVED:	
Express Mail PO to Addressee: EU835610914US	Date Mailed: 10/24/2003
Application Filing Date: 10/24/2003	Docket No: 006386.P001
CONTENTS:	
Application Titled: METHOD AND APPARATUS FOR WASTE EVACUATION	
First Named Inventor: Douglas R. Swarts	
Declaration (PTO/SB/01) - 2 pgs	
Recordation Form (PTO-1595) - 1pg and Assignment Form (former PTO/SB/15) - 1 pg	
Patent Transmittal Form (PTO/SB/05) - 1 pg	
IDS - 3 pages total: 31 Patent References (PTO/SB/08A) - 2 pgs, and 6 Non-Patent References (PTO/SB/08B) - 1 pg	
Fee Transmittal Form (PTO/SB/17) - 1 pg	
Credit Card Payment Form (PTO-2038) - Total payment \$1555.00 on CC ... 2715	
Application Data Sheet (ADS)	
Application with ADS - 56 pgs	
Drawings - 41 sheets totaling 41 Figures	
Power of Attorney (PTO/SB/81) - 1 pg	
This return receipt postcard - 1 postcard	
Certificate of Express Mail PO to Addressee with a copy of this return receipt postcard - 1 pg	

EXPRESS MAIL CERTIFICATE OF MAILING

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